

VIA FACSIMILE 703-746-4060 (OICE)

Date of Facsimile: April 17, 2002

Attorney Docket No. 22369-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Tan-Na Chu et al.
APPLICATION NUMBER : 09/930,812
FILING DATE : August 16, 2001
FOR : END-TO-END SECURE FILE TRANSFER METHOD AND SYSTEM

EXAMINER : Not Yet Assigned
ART UNIT : Not Yet Assigned

BOX Duplicate OICE
Assistant Commissioner for Patents
Washington, D.C. 20231



April 17, 2002
Boston, Massachusetts

RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS

Sir:

In response to the Request for Substitute Papers mailed March 21, 2002, Applicant hereby encloses a copy of the papers filed on 01/09/02 (certificate of mailing dated 11/28/01) as stated in the Request. The attached copy is a complete and accurate copy.

I enclose a signed copy of the Request for Substitute Papers stating same.

No fee is required. If a fee is due, please charge to Deposit Account No. 50-0311 (Reference No. 22369-001) accordingly.

Respectfully submitted,

Brian P. Hopkins, Reg. No. 42,669
Attorney for Applicants
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PATENT TRADEMARK OFFICE

Date: April 17, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/930,812	08/16/2001	Chu, Tan-Na	22369-001 OIPE

Brian P. Hopkins
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C
One Financial Center
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MAR 25 2002

MINTZ LEVIN, BOSTON
PATENT DOCKET DEPT.

Title: End-to-end secure file transfer method and system

Date Mailed: 03/21/2002

Request for Substitute Papers

The papers filed on 01/09/02 (certificate of mailing dated 11/28/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Brian P. Hopkins Registration No.: 42,669

Signature: B. P. Hopkins Date: 4/17/02

Serial No. 09/930.812 File No. 22369-001 By: BPH
Title: END-TO-END SECURE FILE TRANSFER METHOD AND SYSTEM
Application of Tan-Na Chu et al. Date: 11-28-01

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Req. for CPA under 37 CFR 1.53(d)	<input type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Change of Attorney's Address	<input type="checkbox"/> Inf. Disc. Statement, PTO Form 1449
<input type="checkbox"/> New Power of Attorney	<input type="checkbox"/> References Cited
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> Amendment/Response
Incl. _____ pages, (_____ pgs) Specification,	<input type="checkbox"/> Petition for Ext. of Time (x2)
(_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Design Patent Application	<input type="checkbox"/> Letter to Official Draftsperson
<input type="checkbox"/> Declaration(s)	<input type="checkbox"/> Notice of Appeal
<input checked="" type="checkbox"/> Drawings <u>42</u> sheet(s) (FIGS. <u>1</u> - <u>13</u>)	<input type="checkbox"/> Brief (x3)
<input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal	<input type="checkbox"/> Check for \$ _____ Check # _____
<input type="checkbox"/> Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Transmittal Letter (x2)
<input type="checkbox"/> Assignment and Cover Sheet	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.6(s)

☒ Other Response To Notice To File Corrected Application
Papers

DATE MAILED 11-28-01



0410

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Fax Cover Sheet

DATE: April 17, 2002

FROM: BRIAN P. Hopkins CLIENT: 22369.001

User No.

Client No.

Matter No.

TO:

NAME	COMPANY	BUSINESS #	FAX #
OIPE	U.S. PATENT OFFICE		703/746-4060

MESSAGE:

- 1) Enclosed please find a Response To Request for Substitute Papers with enclosures.
- 2) Signed Request for Substitute Papers

NYC 212039v1

(47)

We are sending a total of 47 pages, including this cover sheet.

Please call us at 212.986.4291, if you experience any problems.

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Attorney Docket No. 22369-001

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Commissioner for Patents
 Washington, D.C. 20231



November 28, 2001
 New York, New York

COPY OF PAPERS
 ORIGINALLY FILED
 01/08/02

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

In response to the Notice To File Corrected Application Papers mailed September 28, 2001, Applicants hereby enclose forty-two (42) sheets of corrected informal drawing sheets having the appropriate margins pursuant to 37 CFR 1.84(g).

No fee is required. If a fee is due, please charge to Deposit Account No. 50-0311 (Reference No. 22369-001) accordingly.

Correspondence for the subject application should be continued to be directed to the below stated address.

Respectfully submitted,

Brian P., Hopkins, Reg. No. 42,669
 Attorney for Applicant
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 Fax: (617) 542-2241

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on November 28, 2001
 (Date of Deposit)

BRIAN P. HOPKINS

Name of Attorney For Applicant

Signature

November 28, 2001
 Date of Signature